



Compliance with Public Officers Law §73 (4) Questionnaire

The Procurement Office for University at Buffalo is considering purchasing good or services from you or your organization ("Vendor").

To determine whether the University at Buffalo can complete the contemplated transaction and/or the appropriate procurement method for doing so, we require Vendor to complete the following certification.

Public Officers Law §73(4) (a):

No statewide elected official, state officer or employee, member of the legislature, legislative employee or political party chairman or firm or association of which such person is a member, or corporation, ten per centum or more of the stock of which is owned or controlled directly or indirectly by such person, shall (i) sell any goods or services having a value in excess of twenty-five dollars to any state agency, or (ii) contract for or provide such goods or services with or to any private entity where the power to contract, appoint or retain on behalf of such private entity is exercised, directly or indirectly, by a state agency or officer thereof, unless such goods or services are provided pursuant to an award or contract let after public notice and competitive bidding. This paragraph shall not apply to the publication of resolutions, advertisements or other legal propositions or notices in newspapers designated pursuant to law for such purpose and for which the rates are fixed pursuant to law.

For all vendors/payees:

Please indicate if you or any officer or employee of your organization is an officer or employee of the State of New York or of a public benefit corporation of the State of New York.

Yes No

If the vendor is an entity or organization:

Please indicate if an officer or employee of the State of New York or of a public benefit corporation of the State of New York owns or controls, directly or indirectly, 10% or more of your organization.

Yes No

I CERTIFY THAT THE STATEMENTS MADE ABOVE ARE ACCURATE AND COMPLETE AND I UNDERSTAND THAT IN THE EVENT THESE CERTIFICATIONS ARE FOUND TO BE INTENTIONALLY FALSE OR INTENTIONALLY INCOMPLETE, THE UNIVERSITY AT BUFFALO SHALL HAVE THE RIGHT TO: (1) IMMEDIATELY TERMINATE ANY AGREEMENT IT MAY HAVE WITH VENDOR, AND (2) WITHHOLD PAYMENTS DUE TO VENDOR FOR THESE SERVICES.

_____ Date

Print Name/Title

_____ Vendor Name

_____ Signature

_____ Vendor EIN / Tax ID # / SSN #